

Lesson Plan

Name: **Dr. Alok Goyal (Associate Professor)**
Class and Section: **B.Com (VI- Sem) Section B**
Subject: **Security market operations (Lesson of 18 weeks)**

Note : This is Tentative Lesson Plan from 01/01/18 to 28/4/18. There may be variation in this plan due to unforeseen reason like : Casual Leave, Duty Leave, Collge Funcions, Departmental Functions etc. In such circumstances this plan will be adjusted accordingly.

Week 1
Chapter 1: Primary Market
Assignments
Week 1, day 1, 1-1-18 <ul style="list-style-type: none">• 1.1.1 Introduction to Primary Market• 1.1.2 Nature of Capital Market• 1.1.3 Indian Capital Market• 1.1.4 Role of Capital Market in Industrial Growth• 1.1.5 Capital Market Structure-Overview
Week 1, day 2, 2-1-18 <ul style="list-style-type: none">• 1.2.1 Gilt edged Market• 1.2.2 Primary Dealers
Week 1, day 3, 3-1-18 <ul style="list-style-type: none">• 1.3.1 Primary Dealers Contd.• 1.3.2 Corporate Securities Market• 1.3.3 Issue Mechanism- Through Prospectus
Week 1, day 4, 4-1-18 <ul style="list-style-type: none">• 1.4.1 Issue Mechanism- Offer for Sale• 1.4.2 Issue Mechanism- Private Placement
Week 1, day 5, 5-1-18 <ul style="list-style-type: none">• 1.5.1 IPO through Secondary Market• 1.5.2 Rights Issue
Week 1, day 6, 6-1-18 <ul style="list-style-type: none">• 1.6.1 SEBI Regulation 2009 for Rights Issue• 1.6.2 Bonus shares
Week 2
Chapter 1: Primary Market
Assignments
Week 2, day 1, 8-1-18 <ul style="list-style-type: none">• 2.1.1 Book Building
Week 2, day 2, 9-1-18 <ul style="list-style-type: none">• 2.2.1 Stock Option• 2.2.2 Bought Out Deals• 2.2.3 Grey Market• 2.2.4 QIP
Week 2, day 3, 10-1-18 <ul style="list-style-type: none">• 2.3.1 Capital Market Instruments
Week 2, day 4, 11-1-18 <ul style="list-style-type: none">• 2.4.1 Capital Market instruments Contd.
Week 2, day 5, 12-1-18 <ul style="list-style-type: none">• 2.5.1 Intermediaries in NIM- Merchant Bankers• 2.5.2 Underwriters
Week 2, day 6, 13-1-18

<ul style="list-style-type: none"> • 2.6.1 Bankers • 2.6.2 Registrars to an Issue
Week 3
Chapter 1: Primary Market
Assignments
Week 3, day 1, 15-1-18 <ul style="list-style-type: none"> • 3.1.1 Debenture Trustees • 3.1.2 Brokers to Issue
Week 3, day 2, 16-1-18 <ul style="list-style-type: none"> • 3.2.1 Portfolio Consultants • 3.2.2 Investors Protection
Week 3, day 3, 17-1-18 <ul style="list-style-type: none"> • 3.3.1 Receipt & Payment Method Numerical
Chapter 2: Secondary Market
Week 3, day 4, 18-1-18 <ul style="list-style-type: none"> • 3.4.1 History of Stock Exchanges • 3.4.2 Definition of Stock Exchanges • 3.4.3 Characteristics of Stock Exchanges • 3.4.4 Functions of Stock Exchanges
Week 3, day 5, 19-1-18 <ul style="list-style-type: none"> • 3.5.1 Role of Stock Exchanges • 3.5.2 Difference between Primary & Secondary Market • 3.5.3 Reason for Transaction on Secondary Market
Week 3, day 6, 20-1-18 <ul style="list-style-type: none"> • 3.6.1 Regulation of Stock Exchanges • 3.6.2 Functions as Developmental Role
Week 4
Chapter 2: Secondary Market
Assignments
Week 4, day 1, 23-1-18 <ul style="list-style-type: none"> • 4.1.1 Self Regulatory Organisations
Week 4, day 2, 25-1-18 <ul style="list-style-type: none"> • 4.2.1 Corporatisation and Demutualisation of Stock Exchanges
Week 4, day 3, 27-1-18 <ul style="list-style-type: none"> • 4.3.1 Securities Contracts Regulation 2006
Week 5
Chapter 2: Secondary Market
Assignments
Week 5, day 1, 29-1-18 <ul style="list-style-type: none"> • 5.1.1 Procedure for Dealing at Stock Exchanges • 5.1.2 Factors Influencing Prices on Stock Exchanges
Week 5, day 2, 30-1-18 <ul style="list-style-type: none"> • 5.2.1 Types of Speculators • 5.2.2 Provisions Related to Stock Exchanges
Week 5, day 3, 1-2-18 <ul style="list-style-type: none"> • 5.3.1 Provisions Related to Stock Exchanges Contd.
Week 5, day 4, 2-2-18 <ul style="list-style-type: none"> • 5.4.1 Listing of Securities
Week 5, day 5, 3-2-18 <ul style="list-style-type: none"> • 5.5.1 Listing of Securities Contd.
Week 6
Chapter 2: Secondary Market

Assignments
Week 6, day 1, 5-2-18 <ul style="list-style-type: none"> 6.1.1 Insider Trading
Week 6, day 2, 6-2-18 <ul style="list-style-type: none"> 6.2.1 Factors Contributing Growth of Secondary Market 6.2.2 Major Problems of Secondary Market 6.2.3 Reforms in Capital Market
Week 6, day 3, 7-2-18 <ul style="list-style-type: none"> Test of 1st Chapter
Chapter 3: Trading Mechanism
Week 6, day 4, 8-2-18 <ul style="list-style-type: none"> 6.4.1 Trading Mechanism at BSE
Week 6, day 5, 9-2-18 <ul style="list-style-type: none"> 6.5.1 Process of Placing and Execution of Order
Week 7
Chapter 3: Trading Mechanism
Assignments
Week 7, day 1, 12-2-18 <ul style="list-style-type: none"> 7.1.1 Settlement of Trade 7.1.2 Settlement Agency
Week 7, day 2, 14-2-18 <ul style="list-style-type: none"> 7.2.1 Risk management Measurement at BSE 7.2.2 Trading Mechanism at NSE
Chapter 4: BSE and NSE
Week 7, day 3, 15-2-18 <ul style="list-style-type: none"> 7.3.1 BSE 7.3.2 Role of BSE
Week 7, day 4, 16-2-18 <ul style="list-style-type: none"> 7.4.1 Listing at BSE
Week 7, day 5, 17-2-18 <ul style="list-style-type: none"> 7.5.1 Listing Procedure at BSE
Week 8
Chapter 4: BSE and NSE
Assignments
Week 8, day 1, 19-2-18 <ul style="list-style-type: none"> 8.1.1 NSE 8.1.2 Features of NSE 8.1.3 Organisation of NSE
Week 8, day 2, 20-2-18 <ul style="list-style-type: none"> 8.2.1 Listing of Securities
Week 8, day 3, 21-2-18 <ul style="list-style-type: none"> 8.3.1 Membership
Week 8, day 4, 22-2-18 <ul style="list-style-type: none"> 8.4.1 Investor
Chapter 5: SEBI Regulations 2009
Week 8, day 5, 23-2-18 <ul style="list-style-type: none"> 8.5.1 SEBI Regulations 2009- Public Issue
Week 8, day 6, 24-2-18 <ul style="list-style-type: none"> 8.6.1 SEBI Regulations 2009- Public Issue Contd.
Week 9
Chapter 6: SEBI Custodian of Securities Regulation, 1996
Assignments

<p>Week 9, day 1, 26-2-18</p> <ul style="list-style-type: none"> • 9.1.1 Meaning of Custodian • 9.1.2 Functions of Custodial Services • 9.1.3 Regulations
<p>Week 9, day 2, 27-2-18</p> <ul style="list-style-type: none"> • 9.2.1 Regulations Contd.
<p>Week 10</p> <ul style="list-style-type: none"> • Chapter 7: NSDL
<p>Assignments</p>
<p>Week 10, day 1, 5-3-18</p> <ul style="list-style-type: none"> • 10.1.1 Introduction • 10.1.2 Promoters • 10.1.3 Management • 10.1.4 Legal Framework- Bye Laws
<p>Week 10, day 1, 5-3-18</p> <ul style="list-style-type: none"> • 10.1.1 Admission of Participants • 10.1.2 Termination of Partnership by a Participant
<p>Week 10, day 2, 6-3-18</p> <ul style="list-style-type: none"> • 10.2.1 Business Rules • 10.2.2 Depository System- Business Partners • 10.2.3 Linkage of Exchanges • 10.2.4 Functions of NSDL
<p>Week 10, day 3, 7-3-18</p> <ul style="list-style-type: none"> • 10.3.1 Advantages of NSDL
<p>Week 10, day 4, 8-3-18</p> <ul style="list-style-type: none"> • 10.4.1 Charges of NSDL
<p>Week 10, day 5, 9-3-18</p> <ul style="list-style-type: none"> • 10.5.1 2nd Assignment
<p>Week 10, day 6, 10-3-18</p> <ul style="list-style-type: none"> • 10.6.1 2nd Test
<p>Week 11</p> <p>Chapter 8: Derivatives</p>
<p>Assignments</p>
<p>Week 11, day 1, 12-3-18</p> <ul style="list-style-type: none"> • 11.1.1 Meaning & Definition • 11.1.2 Characteristics of Derivatives • 11.1.3 Regulatory Framework
<p>Week 11, day 2, 13-3-18</p> <ul style="list-style-type: none"> • 11.2.1 SEBI Stock Broker and Sub- Brokers Regulations 2015
<p>Week 11, day 3, 14-3-18</p> <ul style="list-style-type: none"> • 11.3.1 Types of Derivatives
<p>Week 11, day 4, 15-3-18</p> <ul style="list-style-type: none"> • 11.4.1 Types of Derivatives Contd. • 11.4.2 Uses of derivatives
<p>Week 11</p> <p>Chapter 9: Futures</p>
<p>Week 11, day 5, 16-3-18</p> <ul style="list-style-type: none"> • 11.5.1 Meaning • 11.5.2 Difference Between Futures and Forwards
<p>Week 11, day 6, 17-3-18</p> <ul style="list-style-type: none"> • 11.6.1 Mechanics of Trading • 11.6.2 Trading Mechanism of F& O

Week 12
Chapter 9: Futures
Assignments
Week 12, day 1, 19-3-18 <ul style="list-style-type: none"> • 12.1.1 Pricing & Valuation of Futures
Week 12, day 2, 20-3-18 <ul style="list-style-type: none"> • 12.2.1 Market Participants • 12.2.2 Importance of Futures
Week 12
Chapter 10: Options
Week 12, day 3, 21-3-18 <ul style="list-style-type: none"> • 12.3.1 Introduction & Meaning • 12.3.2 Important Terminology • 12.3.3 Types of Options
Week 12, day 4, 22-3-18 <ul style="list-style-type: none"> • 12.4.1 Benefits of Options • 12.4.2 Difference between Futures & Options
Week 12, day 5, 24-3-18 <ul style="list-style-type: none"> • 12.5.1 Pay-Offs of Call and Put Options
Week 13
Chapter 10: Options
Assignments
Week 13, day 1, 26-3-18 <ul style="list-style-type: none"> • 13.1.1 Strategies under Options
Week 13, day 2, 27-3-18 <ul style="list-style-type: none"> • 13.2.1 Strategies under Options contd. • 13.2.2 Valuation of Options
Week 13, day 3, 28-3-18 <ul style="list-style-type: none"> • 13.3.1 Black Scholes Model
Chapter 11: Raising Funds From International Markets
Week 13, day 4, 30-3-18 <ul style="list-style-type: none"> • 13.4.1 FDI
Week 13, day 5, 31-3-18 <ul style="list-style-type: none"> • 13.5.1 FII
Week 14
Chapter 11: Raising Funds From International Markets
Assignments
Week 14, day 1, 2-4-18 <ul style="list-style-type: none"> • 14.1.1 Euro Issues • 14.1.2 FCCB
Week 14, day 2, 3-4-18 <ul style="list-style-type: none"> • 14.2.1 GDRs
Week 14, day 3, 4-4-18 <ul style="list-style-type: none"> • 14.3.1 ADRs
Week 14, day 4, 5-4-18 <ul style="list-style-type: none"> • 14.4.1 Revision Chapter 1
Week 14, day 5, 6-4-18 <ul style="list-style-type: none"> • 14.5.1 Revision Chapter 1
Week 14, day 6, 7-4-18 <ul style="list-style-type: none"> • 14.6.1 Revision Chapter 2
Week 15
Revision
Assignments

Week 15, day 1, 9-4-18 • 15.1.1 Revision Chapter 2
Week 15, day 2, 10-4-18 • 15.2.1 Revision Chapter 3
Week 15, day 3, 11-4-18 • 15.3.1 Revision Chapter 3
Week 15, day 4, 12-4-18 • 15.4.1 Revision Chapter 4
Week 15, day 5, 13-4-18 • 15.5.1 Revision Chapter 4
Week 16
Revision
Assignments
Week 16, day 1, 16-4-18 • 16.1.1 Revision of Chapter 5
Week 16, day 2, 17-4-18 • 16.2.1 Revision of Chapter 6
Week 16, day 3, 19-4-18 • 16.3.1 Revision of Chapter 7
Week 16, day 4, 20-4-18 • 16.4.1 Revision of Chapter 8
Week 16, day 5, 21-4-18 • 16.5.1 Revision of Chapter 8
Week 17
Revision
Assignments
Week 17, day 1, 23-4-18 • 17.1.1 Revision of Chapter 9
Week 17, day 2, 24-4-18 • 17.2.1 Revision of Chapter 9
Week 17, day 3, 25-4-18 • 17.3.1 Revision of Chapter 10
Week 17, day 4, 26-4-18 • 17.4. Revision of Chapter 10
Week 17, day 5, 27-4-18 • 17.5.1 Revision of Chapter 11
Week 17, day 6, 28-4-18 • 17.6.1 Revision of Chapter 11
Week 18
Assignments
Week 18, day 1, 30-4-18 Exams